

Check the applicable box:

☒ **Lobbyist Employer Registration Statement**

☐ **Lobbying Coalition Registration Statement**
(Government Code Section 86105)

Type or Print in ink

Legislative Session

2017 2018
(Insert Years)

1/4

CALIFORNIA FORM **603**

FAIR POLITICAL PRACTICES COMM.
For Official Use Only

NAME OF LOBBYIST EMPLOYER OR LOBBYING COALITION:

EMSO ASSET MANAGEMENT US LLC

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

NEW YORK NY 10019

MAILING ADDRESS: (If different than above)

If this is an initial registration, enter the
DATE QUALIFIED:

01/01/2017

TELEPHONE NUMBER:

FAX NUMBER: (Optional)

E-MAIL: (Optional)

IHOR.RAKOWSKY@EMSO.COM

I Lobbyists and Lobbying Firms Employed

* List the full name of each in-house lobbyist employed and each lobbying firm with which you contract.

Please see attached pages

II List Below the State Agencies Whose Actions you Will Attempt to Influence

* Will you attempt to influence the State Legislature? ☐ Yes ☐ No

Please see attached pages

III Description of Lobbying Interests

* For assistance, see the instructions on the back of this form or the "Information Manual on Lobbying Disclosure Provisions of the Political Reform Act." Investment Advisory Services

VERIFICATION

I have used all reasonable diligence in preparing this Statement. I have reviewed this Statement and to the best of my knowledge the information contained herein is true and complete.

I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed On 11/14/2016
DATE

By IHOR RAKOWSKY
SIGNATURE OF RESPONSIBLE OFFICER

Name of Responsible Officer IHOR RAKOWSKY
TYPE OR PRINT

Title GENERAL COUNSEL

Lobbyist Employer/Lobbying Coalition Registration Statement

CALIFORNIA
FORM **603**
FAIR POLITICAL PRACTICES COMM.

SEE INSTRUCTIONS ON REVERSE

Type or Print in ink

NAME OF LOBBYIST EMPLOYER OR LOBBYING COALITION:

EMSO ASSET MANAGEMENT US LLC

2/4

Nature and Interests of Filer

Check one box only:

- ☐ INDIVIDUAL (Complete only Parts A and E) ☒ BUSINESS ENTITY (Complete only Parts B and E) ☐ INDUSTRY, TRADE OR PROFESSIONAL ASSN. (Complete only Parts C and E) ☐ OTHER (e.g., lobbying coalition) (Complete only Parts D and E)

A. Individual

1. Name and address of employer (or principal place of business if self-employed):

2. Description of business activity in which you or your employer are engaged:

B. Business Entity

Description of business activity in which engaged:

C. Industry, Trade or Professional Association

1. Description of industry, trade or profession represented:

2. Specific description of any portion or faction of the industry, trade or profession which the association exclusively or primarily represents:
Financial Services

3. Number of members in association (check appropriate box)

- ☐ 50 OR LESS (provide names of all members on an attachment.) ☐ MORE THAN 50

D. Other

1. Statement of nature and purposes:

2. Description of any trade, profession, or other group with a common economic interest which is principally represented or from which membership or financial support is principally derived:

E. Industry Group Classification

Check one box which most accurately describes the industry group which you represent. See instructions on reverse.

- ☐ AGRICULTURE ☐ LEGAL
☐ EDUCATION ☐ PUBLIC EMPLOYEES
☐ GOVERNMENT ☐ POLITICAL ORGANIZATIONS
☐ HEALTH ☐ UTILITIES
☐ LABOR UNIONS ☐ OTHER: _____
(Describe)

BUSINESS (Check one of the following sub-categories.)

- ☐ ENTERTAINMENT/RECREATION ☐ OIL AND GAS
☒ FINANCE/INSURANCE ☐ PROFESSIONAL/TRADE
☐ LODGING/RESTAURANTS ☐ REAL ESTATE
☐ MANUFACTURING/INDUSTRIAL ☐ TRANSPORTATION
☐ MERCHANDISE/RETAIL ☐ OTHER _____
(Describe)

Lobbyist Employer/Lobbying
Coalition Registration Statement

II List Below the State Agencies Whose Actions you Will Attempt to Influence

CalPERS

CalSTRS

UC Regents

Lobbyist Employer/Lobbying
Coalition Registration Statement

I Lobbyists and Lobbying Firms Employed

* List the full name of each in-house lobbyist employed and each lobbying firm with which you contract.

Employee Lobbyist

Donald Lucardi